

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Peter G. Schultz et al.

Application No. 10/772,894

Art Unit 1797

Filed February 4, 2004

Confirmation No. 5361

Entitled PREPARATION AND SCREENING OF CRYSTALLINE INORGANIC MATERIALS

TO THE COMMISSIONER FOR PATENTS,

SIR:

STATEMENT UNDER 37 CFR 3.73(b)

Symyx Technologies, Inc., a Delaware corporation, states that it is:

1. ☐ the assignee of the entire right, title and interest; or
2. ☒ an assignee of less than the entire right, title and interest

(The percentage of its and The Regents of the University of California's ownership interest is 100%)

in the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel/Frame, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as follows:

1. From: Isy Goldwasser

To: Symyx Technologies

The document was recorded in the Patent and Trademark Office at Reel 007518, Frame 0522, or for which a copy thereof is attached.

2. From: Symyx Technologies

To: Symyx Technologies, Inc.

The document was recorded in the Patent and Trademark Office at Reel 010216, Frame 0791, or for which a copy thereof is attached.

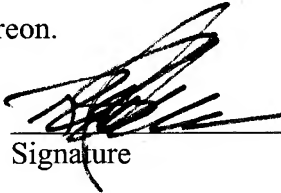
- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

4/15/08

Date



Signature

Rex S. Jackson

Typed or Printed Name

Executive Vice President and Chief Financial
Office of Symyx Technologies, Inc.

Title

408-764-2000

Telephone Number

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Confirmation No. 5361

Entitled PREPARATION AND SCREENING OF CRYSTALLINE INORGANIC MATERIALS

TO THE COMMISSIONER FOR PATENTS,

SIR:

STATEMENT UNDER 37 CFR 3.73(b)

The Regents of the University of California, a California corporation, states that it is:

1. ☐ the assignee of the entire right, title and interest; or
2. ☒ an assignee of less than the entire right, title and interest

(The percentage of its and Symyx Technology, Inc.'s ownership interest is 100%)

in the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel/Frame, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as follows:

1. From: Peter G. Schultz and Xiaodong Xiang

To: The Regents of the University of California

The document was recorded in the Patent and Trademark Office at Reel 007518, Frame 0103, or for which a copy thereof is attached.

2. From: Gabriel Briceno, Xiao-Dong Sun and Kai-An Wang

To: The Regents of the University of California

The document was recorded in the Patent and Trademark Office at Reel 014313, Frame 0804, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

April 10, 2008
Date


Signature

Robin C. Chiang
Typed or Printed Name

Patent Attorney for The Regents of the University
of California, as represented by Lawrence Berkeley
National Laboratory
Title

510-486-4534
Telephone Number